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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Bluestone Innovations Texas, LLC

Application No./Patent No.: 09/227,328 / US 6,448,102 Filed/Issue Date: Mar. 26, 1999/Sep. 10, 2002

Titled: Method For Nitride Based Laser Diode With Growth Substrate Removed

Bluestone Innovations Texas, LLC, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Kneissl, Bour, Mei, Romano To: XEROX CORPORATION

The document was recorded in the United States Patent and Trademark Office at  
Reel 10397, Frame 0916, or for which a copy thereof is attached.

2. From: XEROX CORPORATION To: Bank One, NA, As Administrative Agent

The document was recorded in the United States Patent and Trademark Office at  
Reel 013111, Frame 0001, or for which a copy thereof is attached.

3. From: XEROX CORPORATION To: JP Morgan Chase Bank, As Collateral Agent

The document was recorded in the United States Patent and Trademark Office at  
Reel 015134, Frame 0476, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Jun 28, 2010

Date

Brendan E. Squire

President

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**STATEMENT UNDER 37 CFR 3.73(b) – Supplemental Sheet**

**Applicant/Patent Owner:** Bluestone Innovations Texas, LLC

**Application No./Patent No.:** 09/277,328 / US6448102      **Filed/Issue Date:** Mar. 26, 1999/Sep. 10, 2002

**Titled:** Method for Nitride Based Laser Diode with Growth Substrate Removed

Chain of title continued:

4. From: Bank One, NA

To: XEROX CORPORATION

The document was recorded in the United States Patent and Trademark Office at  
Reel 023870, Frame 0121, or for which a copy thereof is attached.

5. From: JP Morgan Chase Bank, NA

To: XEROX CORPORATION

The document was recorded in the United States Patent and Trademark Office at  
Reel 023870, Frame 0092, or for which a copy thereof is attached.

6. From: XEROX CORPORATION

To: Bluestone Innovations Holdings, LP

The document was recorded in the United States Patent and Trademark Office at  
Reel 024434, Frame 0440, or for which a copy thereof is attached.

7. From: Bluestone Innovations Holdings, LP

To: Bluestone Innovations Texas, LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 024434, Frame 0636, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Brendan E. Squire  
Printed or Typed Name

Jun 28, 2010  
Date

President  
Title